



Receipt  
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PATENT  
ATTORNEY DOCKET NO. 50110/004002

Certificate of Mailing: Date of Deposit: <u>November 8, 2000</u>	
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as <b>first class mail</b> with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.	
Moya Kinnealey	<u>Moya Kinnealey</u>
Printed name of person mailing correspondence	Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Hayden et al.

Art Unit: 1616

Serial No.: 09/654,323

Examiner:

Filed: September 1, 2000

Title: Compositions and Methods for Modulating HDL Cholesterol and Triglyceride Levels

Commissioner For Patents  
Washington, D.C. 20231

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FEB 23 2001  
TECH CENTER 1600/2900

PETITION TO CORRECT FILING RECEIPT

Applicant requests that the attached filing receipt be corrected as follows:

Under Total Claims, replace "72" with --99--; and

Under Continuing Data as Claimed by Applicant, replace "THIS APPLICATION IS A CON OF 09/526,193" with the priority information listed on the enclosed transmittal.

Attached is a copy of the incorrect filing receipt.

If there are any charges, or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: Nov 7, 2000

Paul T. Clark  
Paul T. Clark  
Reg. No. 30,162

Clark & Elbing LLP  
176 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
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\\Ntserver\documents\50110\50110.004002 Pet. Correct OFR.wpd

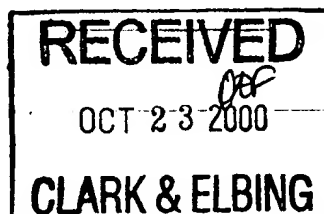


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/654,323	09/01/2000	1616	2890	50110/004002	86	<del>86</del> <i>replace -- 99 --</i>	43

Paul T Clark  
Clark & Elbring LLP  
176 Federal Street  
Boston, MA 02110



FILING RECEIPT



OC000000005490144\*

Date Mailed: 10/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael R. Hayden, Vancouver, CANADA;  
Angela R. Brooks-Wilson, Richmond, CANADA;  
Simon N. Pimstone, Vancouver, CANADA;  
Susanne M. Clee, Vancouver, CANADA;

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## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CONT OF 09/526,193

*replace with priority information  
on enclosed transmittal*

## Foreign Applications

If Required, Foreign Filing License Granted 10/19/2000

\*\* SMALL ENTITY \*\*

## Title

Compositions and methods for modulating HDL cholesterol and triglyceride levels

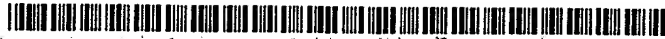
## Preliminary Class

424

Data entry by : WHITE, JACKIE

Team : OIPE

Date: 10/20/2000





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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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Office of Initial Patent Examination  
Customer Service Center  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/654,323	09/01/2000	424	1616	50110/004002
<b>APPLICANTS</b> Michael R. Hayden, Vancouver, CANADA; Angela R. Brooks-Wilson, Richmond, CANADA; Simon N. Pimstone, Vancouver, CANADA; Susanne M. Clee, Vancouver, CANADA;				
<b>** CONTINUING DATA *****</b> <i>DJS</i> THIS APPLICATION IS A CIP OF 09/526,193 03/15/2000 WHICH CLAIMS BENEFIT OF 60/124,702 03/15/1999 WHICH CLAIMS BENEFIT OF 60/138,048 06/08/1999 WHICH CLAIMS BENEFIT OF 60/139,600 06/17/1999 WHICH CLAIMS BENEFIT OF 60/151,977 09/01/1999 WHICH CLAIMS BENEFIT OF 60/213,958 06/23/2000				
<b>** FOREIGN APPLICATIONS *****</b> <i>NONE- DJS</i>				
<b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 10/19/2000</b>				
<b>** SMALL ENTITY **</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>Allowance</i> Acknowledged <i>DJS</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 86	<b>TOTAL CLAIMS</b> 72
				<b>INDEPENDENT CLAIMS</b> 43
<b>ADDRESS</b> Paul T Clark Clark & Elbring LLP 176 Federal Street Boston, MA 02110				
<b>TITLE</b> Compositions and methods for modulating HDL cholesterol and triglyceride levels				
<b>FILING FEE RECEIVED</b> 2955	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/>	



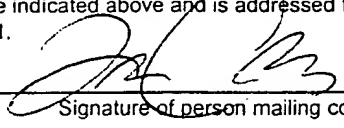
## Certificate of Mailing

Date of Deposit September 1, 2000Label Number: EL509050555US

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Luis A. Cruz

Printed name of person mailing correspondence

  
Signature of person mailing correspondence

## UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

Attorney Docket Number	50110/004002
Applicant	Michael R. Hayden, Angela R. Brooks-Wilson, Simon N. Pimstone, and Susanne M. Clee
Title	COMPOSITIONS AND METHODS FOR MODULATING HDL CHOLESTEROL AND TRIGLYCERIDE LEVELS
<b>PRIORITY INFORMATION:</b>	
This application is a continuation-in-part of and claims priority from United States patent application 60/124,702, filed March 15, 1999; 60/138,048, filed June 8, 1999; 60/139,600, filed June 17, 1999, 60/151,977, filed September 1, 1999; 09/526,193, filed March 15, 2000; and 60/213,958 filed June 23, 2000.	
<b>APPLICATION ELEMENTS:</b>	
Cover sheet	1 page
Specification	105 pages
Claims	19 pages
Abstract	1 page
Drawing	86 sheets
Combined Declaration and POA, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application ["**SERIAL NUMBER**"] and the entire disclosure of the prior application is considered as being part of the disclosure of this new application and is hereby incorporated by reference therein.	3 pages
Statement Deleting Inventors	0 pages
Sequence Statement	2 pages
Sequence Listing on Paper	108 pages
Sequence Listing on Diskette	1 disk

Small Entity Statement, which is: <input checked="" type="checkbox"/> Unsigned; <input type="checkbox"/> Newly signed for this application; <input type="checkbox"/> A copy from prior application [ <b>**SERIAL NUMBER**</b> ] and such small entity status is still proper and desired.	2 pages
Preliminary Amendment	0 pages
IDS	0 pages
Form PTO 1449	0 pages
Cited References	0 references
Recordation Form Cover Sheet and Assignment	0 pages
Assignee's Statement	0 pages
English Translation	0 pages
Certified Copy of Priority Document	0 pages
Return Receipt Postcard	1
<b>FILING FEES:</b>	
Basic Filing Fee: \$345	\$345.00
Excess Claims Fee: 99 - 20 x \$9	\$711.00
Excess Independent Claims Fee: 45 - 3 = 42 x \$39	\$1638.00
Multiple Dependent Claims Fee: \$260/\$130	\$0
Total Fees:	\$2694.00
<input checked="" type="checkbox"/> Enclosed is a check for \$2694.00 to cover the total fees. <input type="checkbox"/> Charge [ <b>**AMOUNT**</b> ] to Deposit Account No. 03-2095 to cover the total fees. <input type="checkbox"/> The filing fee is not being paid at this time. <input checked="" type="checkbox"/> Please apply any other charges, or any credits, to Deposit Account No. 03-2095.	
<b>CORRESPONDENCE ADDRESS:</b>	
Paul T. Clark Reg. No. 30,162 Clark & Elbing LLP 176 Federal Street Boston, MA 02110	
Telephone: 617-428-0200 Facsimile: 617-428-7045	
<div style="display: flex; justify-content: space-between;"> <div> <i>Susan M. Michael</i>            Signature    Susan M. Michael    Reg. No. 42,955         </div> <div> <i>September 1, 2000</i>            Date         </div> </div>	